

# RESONANCES AND SCATTERING POLES ON ASYMPTOTICALLY HYPERBOLIC MANIFOLDS

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**ABSTRACT.** On an asymptotically hyperbolic manifold  $(X, g)$ , we show that the poles (called resonances) of the meromorphic extension of the resolvent  $(\Delta_g - \lambda(n - \lambda))^{-1}$  coincide, with multiplicities, with the poles (called scattering poles) of the renormalized scattering operator, except for the points of  $\frac{n}{2} - \mathbb{N}$ . At each  $\lambda_k := \frac{n}{2} - k$  with  $k \in \mathbb{N}$ , the resonance multiplicity  $m(\lambda_k)$  and the scattering pole multiplicity  $\nu(\lambda_k)$  do not always coincide:  $\nu(\lambda_k) - m(\lambda_k)$  is the dimension of the kernel of a differential operator on the boundary  $\partial\bar{X}$  introduced by Graham and Zworski; in the asymptotically Einstein case, this operator is the  $k$ -th conformal Laplacian.

## 1. INTRODUCTION

The purpose of this work is to give a ‘more direct’ proof of the result of Borthwick and Perry [1] about the equivalence between resolvent resonances and scattering poles, notably in order to analyze the special points  $(\frac{n-k}{2})_{k \in \mathbb{N}}$  that they did not deal with. This problem is especially interesting on convex co-compact hyperbolic quotients since these are the scattering poles (not the resonances) which appear in the divisor of Selberg’s zeta function associated to the group (cf. Patterson-Perry [14]).

Let  $\bar{X} = X \cup \partial\bar{X}$  a  $n + 1$ -dimensional smooth compact manifold with boundary and  $x$  a defining function for the boundary, that is a smooth function  $x$  on  $\bar{X}$  such that

$$x \geq 0, \quad \partial\bar{X} = \{m \in \bar{X}, x(m) = 0\}, \quad dx|_{\partial\bar{X}} \neq 0$$

We say that a smooth metric  $g$  on the interior  $X$  of  $\bar{X}$  is *conformally compact* if  $x^2 g$  extends smoothly as a metric to  $\bar{X}$ . An *asymptotically hyperbolic manifold* is a conformally compact manifold such that for all  $y \in \partial\bar{X}$ , all sectional curvatures at  $m \in X$  converge to  $-1$  as  $m \rightarrow y$ . Notice that convex co-compact hyperbolic quotients are included in this class of manifolds. An asymptotically hyperbolic manifold is necessarily complete and the spectrum of its Laplacian  $\Delta_g$  acting on functions consists of absolutely continuous spectrum  $[\frac{n^2}{4}, \infty)$  and a finite set of eigenvalues  $\sigma_{pp}(\Delta_g) \subset (0, \frac{n^2}{4})$ . The resolvent  $(\Delta_g - z)^{-1}$  is a meromorphic family on  $\mathbb{C} \setminus [\frac{n^2}{4}, \infty)$  of bounded operators and the new parameter  $z = \lambda(n - \lambda)$  with  $\Re(\lambda) > \frac{n}{2}$  induces a modified resolvent

$$R(\lambda) := (\Delta_g - \lambda(n - \lambda))^{-1}$$

which is meromorphic on  $\{\Re(\lambda) > \frac{n}{2}\}$ , its poles being the points  $\lambda_e$  such that  $\lambda_e(n - \lambda_e) \in \sigma_{pp}(\Delta_g)$ . Mazzeo and Melrose [12] have constructed the finite-meromorphic extension (i.e. with poles whose residue is a finite rank operator) of  $R(\lambda)$  on  $\mathbb{C} \setminus \frac{1}{2}(n - \mathbb{N})$ . We proved in a previous work [6] that this extension is finite-meromorphic on  $\mathbb{C}$  if and only if the metric is even in the sense that there exists a boundary defining function  $x$  such that the metric can be expressed by

$$(1.1) \quad g = \frac{dx^2 + h(x^2, y, dy)}{x^2}$$

in the collar  $[0, \epsilon) \times \partial\bar{X}$  induced by  $x$ , with  $h(z, y, dy)$  smooth up to  $\{z = 0\}$ . We will only consider these cases of even metrics to simplify the statements, but our result works as long as

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the studied singularity is a pole of finite multiplicity for the resolvent.

The poles of the extension  $R(\lambda)$  are called *resonances* and the multiplicity of a resonance  $\lambda_0$  is defined by

$$m(\lambda_0) := \text{rank} \int_{C(\lambda_0, \epsilon)} (n - 2\lambda) R(\lambda) d\lambda = \text{rankRes}_{\lambda_0}((n - 2\lambda) R(\lambda))$$

where  $C(\lambda_0, \epsilon)$  is a circle around  $\lambda_0$  with radius  $\epsilon > 0$  chosen sufficiently small to avoid other resonances in  $D(\lambda_0, \epsilon)$  and  $\text{Res}$  means the residue. In other words, this is the rank of the residue at  $z_0 = \lambda_0(n - \lambda_0)$  of the resolvent as a function of  $z = \lambda(n - \lambda)$ .

The scattering operator  $S(\lambda)$  is the operator on  $\partial\bar{X}$  defined as follows: let  $\lambda \in \{\Re(\lambda) = \frac{n}{2}\}$  and  $\lambda \neq \frac{n}{2}$ , for all  $f_0 \in C^\infty(\partial\bar{X})$  there exists a unique solution  $F(\lambda)$  of the problem

$$\begin{aligned} (\Delta_g - \lambda(n - \lambda))F(\lambda) &= 0, & F(\lambda) &= x^\lambda f_- + x^{n-\lambda} f_+ \\ f_-, f_+ &\in C^\infty(\bar{X}), & f_+|_{\partial\bar{X}} &= f_0 \end{aligned}$$

we then set  $S(\lambda)$  the operator  $S(\lambda) : f_0 \rightarrow f_-|_{\partial\bar{X}}$ . In fact we should use half-densities and define  $S(\lambda)$  on conormal bundles on  $\partial\bar{X}$  to get invariance with respect to  $x$ , but this is dropped here. Joshi and Sá Barreto showed [10] that this family of operators extends meromorphically in  $\mathbb{C} \setminus \frac{1}{2}(n - \mathbb{N})$  in the sense of pseudo-differential operators on  $\partial\bar{X}$  and that  $S(\lambda)$  has the principal symbol

$$(1.2) \quad \sigma_0(S(\lambda)) = c(\lambda)\sigma_0(\Lambda^{2\lambda-n}), \text{ with } \Lambda := (1 + \Delta_{h_0})^{\frac{1}{2}}, \quad c(\lambda) := 2^{n-2\lambda} \frac{\Gamma(\frac{n}{2} - \lambda)}{\Gamma(\lambda - \frac{n}{2})}$$

and  $h_0 := x^2 g|_{T\partial\bar{X}}$ , which leads to the factorization (see [16, 9, 14, 1] for a similar approach)

$$(1.3) \quad \tilde{S}(\lambda) := c(n - \lambda)\Lambda^{-\lambda+\frac{n}{2}} S(\lambda)\Lambda^{-\lambda+\frac{n}{2}} = 1 + K(\lambda)$$

with  $K(\lambda)$  compact finite-meromorphic. It is clear that the poles of  $S(\lambda)$  and  $\tilde{S}(\lambda)$  coincide except for the points of  $\frac{n}{2} + \mathbb{Z}$ . A pole  $\lambda_0$  of  $\tilde{S}(\lambda)$  is called a *scattering pole* and we define its multiplicity by

$$\nu(\lambda_0) := -\text{Tr} \left( \frac{1}{2\pi i} \int_{C(\lambda_0, \epsilon)} \tilde{S}'(\lambda) \tilde{S}^{-1}(\lambda) d\lambda \right) = -\text{TrRes}_{\lambda_0}(\tilde{S}'(\lambda) \tilde{S}^{-1}(\lambda)).$$

Using a method close to that of Guilloté-Zworski [9] and Gohberg-Sigal theory [4], we then obtain the

**Theorem 1.1.** *Let  $(X, g)$  be an asymptotically hyperbolic manifold with  $g$  even in the sense of (1.1) and let  $\lambda_0 \in \{\Re(\lambda) < \frac{n}{2}\}$  such that  $\lambda_0 \notin \{\lambda \in \mathbb{C}; \lambda(n - \lambda) \in \sigma_{pp}(\Delta_g)\} \cap \frac{1}{2}(n - \mathbb{N})$ . Then  $\lambda_0$  is a pole of  $R(\lambda)$  if and only if it is a pole of  $S(\lambda)$  and we have*

$$(1.4) \quad m(\lambda_0) = m(n - \lambda_0) + \nu(\lambda_0) - \mathbb{1}_{\frac{n}{2}-\mathbb{N}}(\lambda_0) \dim \ker \text{Res}_{n-\lambda_0} S(\lambda)$$

where  $\mathbb{1}_{\frac{n}{2}-\mathbb{N}}$  is the characteristic function of  $\frac{n}{2} - \mathbb{N}$  and  $\text{Res}$  means the residue.

*Remark 1:* the term  $m(n - \lambda_0)$  vanishes when  $\lambda_0(n - \lambda_0) \notin \sigma_{pp}(\Delta_g)$  and that (1.4) can be extended to the line  $\{\Re(\lambda) = \frac{n}{2}\}$  by using that  $R(\lambda)$  and  $\tilde{S}(\lambda)$  are continuous on this line except possibly at  $\frac{n}{2}$ , where only  $R(\lambda)$  can have a pole; in this case  $\nu(\lambda_0) = 0$  and (1.4) is satisfied.

*Remark 2:* the additional term introduced at  $\lambda_0 = \frac{n}{2} - k$  is exactly the dimension of the kernel of the operator  $p_{2k}$  defined by Graham-Zworski in [5, Prop. 3.5]. Therefore it only depends on the  $2k$  first derivatives of the metric at the boundary. When the manifold is asymptotically Einstein, this is

$$\dim \ker \text{Res}_{\frac{n}{2}+k} S(\lambda) = \dim \ker P_k$$

$P_k$  being the  $k$ -th conformally invariant power of the Laplacian (cf. [5]), which depends only on the conformal class of the metric  $h_0 = x^2 g|_{T\partial\bar{X}}$  at the boundary. If  $n$  is even, it is worth noting

that  $\dim \ker p_n \geq 1$  since  $p_n$  always annihilates constants. Moreover, if  $(\partial\bar{X}, h_0)$  is conformally flat with  $(X, g)$  asymptotically Einstein, the additional term is  $\dim \ker P_k = H_0(\partial\bar{X})$ , the number of connected components of the boundary.

The recent formula obtained by Patterson-Perry [14] and Bunke-Olbrich [2] for the divisor at  $\lambda_0 \in \mathbb{C}$  of Selberg's zeta function on a convex co-compact hyperbolic quotient always makes the ‘spectral term’  $\nu(\lambda_0)$  appear and an additional ‘topological term’ (an integer multiple of the Euler characteristic) comes when  $\lambda_0 \in -\mathbb{N}_0$ . As a matter of fact, the ‘spectral term’ at  $\lambda_0 = \frac{n}{2} - k$  (with  $k \in \mathbb{N}$ ) could be splitted in a ‘resonance term’  $m(\lambda_0)$  and a ‘conformal term’  $\dim \ker p_{2k}$  with  $p_{2k}$  the residue of  $S(\lambda)$  at  $\frac{n}{2} + k$ . Notice also that for  $\lambda_0 \in \frac{n}{2} - \mathbb{N}$ ,  $m(\lambda_0)$  can be 0 though  $\nu(\lambda_0)$  is not (this is the case of  $\mathbb{H}^{n+1}$  when  $n+1$  is odd).

Moreover the Poisson formula obtained by Perry [17] for convex co-compact quotients is used to give a lower bound of poles of  $\tilde{S}(\lambda)$  (with multiplicity  $\nu(\lambda_0)$ ) in a disc  $D(\frac{n}{2}, R) \subset \mathbb{C}$  with radius  $R$ . It is clear that the number of these poles is bigger than the number of resonances, in view of Theorem 1.1. In the trivial case of  $\mathbb{H}^{n+1}$  with  $n+1$  odd, we notably have no resonance though the number of poles of  $\tilde{S}(\lambda)$  in  $D(\frac{n}{2}, R)$  is  $CR^{n+1}$ . However, in dimension  $n+1 = 2$ , the explicit formula of the scattering matrix for a hyperbolic funnel by Guilloté-Zworski [8] or the work of Bunke-Olbrich [3, Prop.4.3] show that the conformal term cancels, so  $\nu(\lambda_0) = m(\lambda_0)$  (modulo the discrete spectrum).

To conclude it would be interesting to study the dimension of the kernels of the conformal Laplacians on such quotients to use Perry's results and give a lower bound of the number of resonances in a disc.

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## 2. BACKGROUND ON MULTIPLICITIES

Let  $\mathcal{H}_1, \mathcal{H}_2$  some Hilbert spaces. If  $M(\lambda)$  is meromorphic on an open set  $U \subset \mathbb{C}$  with values in the space  $\mathcal{L}(\mathcal{H}_1, \mathcal{H}_2)$  of bounded linear operators and if  $\lambda_0$  is a pole of  $M(\lambda)$ , there exists a neighborhood  $V_{\lambda_0}$  of  $\lambda_0$ , an integer  $p > 0$  and some  $(M_i)_{i=1,\dots,p}$  in  $\mathcal{L}(\mathcal{H}_1, \mathcal{H}_2)$  such that for  $\lambda \in V_{\lambda_0} \setminus \{\lambda_0\}$

$$(2.1) \quad \begin{aligned} M(\lambda) &= \Xi_{\lambda_0}(M(\lambda)) + H(\lambda), \\ \Xi_{\lambda_0}(M(\lambda)) &= \sum_{i=1}^p M_i(\lambda - \lambda_0)^{-i}, \quad H(\lambda) \in \mathcal{Hol}(V_{\lambda_0}, \mathcal{L}(\mathcal{H}_1, \mathcal{H}_2)). \end{aligned}$$

We will call  $\Xi_{\lambda_0}(M(\lambda))$  the polar part of  $M(\lambda)$  at  $\lambda_0$ ,  $p$  the order of the pole  $\lambda_0$ ,  $M_1 = \text{Res}_{\lambda_0} M(\lambda)$  the residue of  $M(\lambda)$  at  $\lambda_0$ ,  $m_{\lambda_0}(M(\lambda)) := \text{rank } M_1$  the multiplicity of  $\lambda_0$  and

$$\text{Rank}_{\lambda_0} M(\lambda) := \dim \sum_{i=1}^p \text{Im}(M_i)$$

the total polar rank of  $M(\lambda)$  at  $\lambda_0$ . Finally, a meromorphic family of operators in  $\mathcal{L}(\mathcal{H}_1, \mathcal{H}_2)$  whose poles have finite total polar rank will be called finite-meromorphic.

Assume now that  $\mathcal{H}_1 = \mathcal{H}_2$ ; taking essentially Gohberg-Sigal notations [4], a root function of  $M(\lambda)$  at  $\lambda_0$  is a function  $\varphi(\lambda) \in \mathcal{Hol}(V_{\lambda_0}, \mathcal{H}_1)$  such that  $\lim_{\lambda \rightarrow \lambda_0} M(\lambda)\varphi(\lambda) = 0$  and  $\varphi(\lambda_0) \neq 0$ , the vanishing order of  $M(\lambda)\varphi(\lambda)$  being called the multiplicity of  $\varphi(\lambda)$ . The vector  $\varphi_0 := \varphi(\lambda_0)$  is called an eigenvector of  $M(\lambda)$  at  $\lambda_0$  and the set of eigenvectors of  $M(\lambda)$  at  $\lambda_0$  form a vectorial subspace of  $\mathcal{H}_1$  denoted  $\ker_{\lambda_0} M(\lambda)$ . The rank of an eigenvector  $\varphi_0$  is defined as being the supremum of the multiplicities of the root functions  $\varphi(\lambda)$  of  $M(\lambda)$  at  $\lambda_0$  such that  $\varphi(\lambda_0) = \varphi_0$ . If  $\dim \ker_{\lambda_0} M(\lambda) = \alpha < \infty$  and the ranks of all eigenvectors are finite, a canonical system of eigenvectors is a basis  $(\varphi_0^{(i)})_{i=1,\dots,\alpha}$  of  $\ker_{\lambda_0} M(\lambda)$  such that the ranks of  $\varphi_0^{(i)}$  have the following property: the rank of  $\varphi_0^{(1)}$  is the maximum of the ranks of all eigenvectors of  $M(\lambda)$  at  $\lambda_0$  and

the rank of  $\varphi_0^{(i)}$  is the maximum of the ranks of all eigenvectors in a direct complement of  $\text{Vect}(\varphi_0^{(1)}, \dots, \varphi_0^{(i-1)})$  in  $\ker_{\lambda_0} M(\lambda)$ . A canonical system of eigenvectors is not unique but the family of ranks of its eigenvectors does not depend on the choice of the canonical system. We then denote  $r_i = \varphi_0^{(i)}$  the partial null multiplicities of  $M(\lambda)$  at  $\lambda_0$  and

$$N_{\lambda_0}(M(\lambda)) = \sum_{i=1}^{\alpha} r_i$$

the null multiplicity of  $M(\lambda)$  at  $\lambda_0$ .

Assume that  $M(\lambda)$  is meromorphic family of Fredholm operators in  $\mathcal{L}(\mathcal{H}_1)$  and  $\lambda_0$  a pole of finite total polar rank. If the index of  $(M(\lambda) - \Xi_{\lambda_0}(M(\lambda)))|_{\lambda=\lambda_0}$  is 0, Gohberg and Sigal [4] show that there exist some holomorphically invertible operators  $U_1(\lambda)$  and  $U_2(\lambda)$  near  $\lambda_0$ , some orthogonal projections  $(P_l)_{l=0,\dots,m}$  and some non zero integers  $(k_l)_{l=1,\dots,m}$  such that

$$(2.2) \quad M(\lambda) = U_1(\lambda) \left( P_0 + \sum_{l=1}^m (\lambda - \lambda_0)^{k_l} P_l \right) U_2(\lambda),$$

$$P_i P_j = \delta_{ij} P_j, \quad \text{rank}(P_l) = 1 \text{ for } l = 1, \dots, m, \quad \dim(1 - P_0) < \infty.$$

If moreover  $M(\lambda)$  has a meromorphic inverse  $M^{-1}(\lambda)$  (ie. when  $P_0 + \sum_{l=1}^m P_l = 1$ ) then  $\lambda_0$  is at most a pole of finite total polar rank of  $M^{-1}(\lambda)$  and

$$(2.3) \quad M^{-1}(\lambda) = U_2^{-1}(\lambda) \left( P_0 + \sum_{l=1}^m (\lambda - \lambda_0)^{-k_l} P_l \right) U_1^{-1}(\lambda).$$

It is important to notice that the set of partial null multiplicities remains invariant under multiplication by a holomorphically invertible family of operators (cf. [4]). In view of (2.2) and (2.3), it is then easy to see that

$$\dim \ker_{\lambda_0} M(\lambda) = \#\{l; k_l > 0\}, \quad \dim \ker_{\lambda_0} M^{-1}(\lambda) = \#\{l; k_l < 0\}$$

and that the set of partial null multiplicities of  $M(\lambda)$  (resp.  $M^{-1}(\lambda)$ ) at  $\lambda_0$  is  $\{k_l; k_l > 0\}$  (resp.  $\{k_l; k_l < 0\}$ ). We deduce

$$N_{\lambda_0}(M(\lambda)) = \sum_{k_l > 0} k_l, \quad N_{\lambda_0}(M^{-1}(\lambda)) = \sum_{k_l < 0} k_l$$

and from the factorization (2.2) Gohberg-Sigal [4] obtain the generalized logarithmic residue theorem

$$(2.4) \quad \text{Tr}(\text{Res}_{\lambda_0}(M'(\lambda)M^{-1}(\lambda))) = N_{\lambda_0}(M(\lambda)) - N_{\lambda_0}(M^{-1}(\lambda)).$$

This integer is essentially the order of the zero or the pole of  $\det(M(\lambda))$  at  $\lambda_0$  (when  $\det(M(\lambda))$  exists).

To conclude, let  $M(\lambda)$  be a meromorphic family of Fredholm operators with index 0 in  $\mathcal{L}(\mathcal{H}_1)$  and  $\lambda_0$  a pole of finite total polar rank. We write  $M(\lambda)$  as in (2.2) and if  $L(\lambda) := (\lambda - \lambda_0)^{-1}M(\lambda)$ , we deduce that  $\dim \ker_{\lambda_0} L(\lambda) = \#\{l; k_l > 1\}$ , the set of partial null multiplicities of  $L(\lambda)$  at  $\lambda_0$  is  $\{k_l - 1; k_l > 1\}$  and

$$(2.5) \quad N_{\lambda_0}(L(\lambda)) = \sum_{k_l > 1} (k_l - 1) = \sum_{k_l > 0} (k_l - 1) = N_{\lambda_0}(M(\lambda)) - \dim \ker_{\lambda_0} M(\lambda).$$

This formula will be essential for what follows since the scattering operator  $S(\lambda)$  is not finite-meromorphic near  $\frac{n}{2} + k$  (with  $k \in \mathbb{N}$ ) whereas  $(\lambda - \frac{n}{2} - k)S(\lambda)$  is.

### 3. RESONANCES AND SCATTERING POLES

**3.1. Stretched products, half-densities.** To begin, let us introduce a few notations and recall some basic things on stretched products and singular half-densities (the reader can refer to Mazzeo-Melrose [12], Melrose [13] for details). Let  $\bar{X}$  a smooth compact manifold with boundary and  $x$  a boundary defining function. The manifold  $\bar{X} \times \bar{X}$  is a smooth manifold with corners, whose boundary hypersurfaces are diffeomorphic to  $\partial\bar{X} \times \bar{X}$  and  $\bar{X} \times \partial\bar{X}$ , and defined by the functions  $\pi_L^*x, \pi_R^*x$  ( $\pi_L$  and  $\pi_R$  being the left and right projections from  $\bar{X} \times \bar{X}$  onto  $\bar{X}$ ). For notational simplicity, we now write  $x, x'$  instead of  $\pi_L^*x, \pi_R^*x$  and let

$$\delta_{\partial\bar{X}} := \{(m, m) \in \partial\bar{X} \times \partial\bar{X}; m \in \partial\bar{X}\}.$$

The blow-up of  $\bar{X} \times \bar{X}$  along the diagonal  $\delta_{\partial\bar{X}}$  of  $\partial\bar{X} \times \partial\bar{X}$  will be noted  $\bar{X} \times_0 \bar{X}$  and the blow-down map

$$\beta : \bar{X} \times_0 \bar{X} \rightarrow \bar{X} \times \bar{X}$$

This manifold with corners has three boundary hypersurfaces  $\mathcal{T}, \mathcal{B}, \mathcal{F}$  defined by some functions  $\rho, \rho', R$  such that  $\beta^*(x) = R\rho, \beta^*(x') = R\rho'$ . Globally,  $\delta_{\partial\bar{X}}$  is replaced by a larger manifold, namely by its doubly inward-pointing spherical normal bundle of  $\delta_{\partial\bar{X}}$ , whose each fiber is a quarter of sphere. From local coordinates  $(x, y, x', y')$  on  $\bar{X} \times \bar{X}$ , this amounts to introducing polar coordinates  $(R, \rho, \rho', \omega, y)$  around  $\delta_{\partial\bar{X}}$ :

$$R := (x^2 + x'^2 + |y - y'|^2)^{\frac{1}{2}}, \quad (\rho, \rho', \omega) := \left( \frac{x}{R}, \frac{x'}{R}, \frac{y - y'}{R} \right)$$

with  $R, \rho, \rho' \in [0, \infty)$ . In these polar coordinates the Schwartz kernel of  $R(\lambda)$  has a better description.

Using evident identifications induced by the inclusions

$$\delta_{\partial\bar{X}} \subset \partial\bar{X} \times \partial\bar{X} \subset \partial\bar{X} \times \bar{X} \subset \bar{X} \times \bar{X},$$

we denote by  $\partial\bar{X} \times_0 \bar{X}$  the blow-up of  $\partial\bar{X} \times \bar{X}$  along  $\delta_{\partial\bar{X}}$  and  $\partial\bar{X} \times_0 \partial\bar{X}$  the blow-up of  $\partial\bar{X} \times \partial\bar{X}$  along  $\delta_{\partial\bar{X}}$ .  $\tilde{\beta}$  and  $\beta_\partial$  are the associated blow-down map

$$\tilde{\beta} : \partial\bar{X} \times_0 \bar{X} \rightarrow \partial\bar{X} \times \bar{X}, \quad \beta_\partial : \partial\bar{X} \times_0 \partial\bar{X} \rightarrow \partial\bar{X} \times \partial\bar{X}$$

with  $\tilde{\beta} = \beta|_{\mathcal{T}}$  and  $\beta_\partial = \beta|_{\mathcal{B} \cap \mathcal{T}}$ . Note that  $r := R|_{\mathcal{B} \cap \mathcal{T}}$  is a defining function of the boundary of  $\partial\bar{X} \times_0 \partial\bar{X}$  (which is the lift of  $\delta_{\partial\bar{X}}$  under  $\beta_\partial$ ).

Let  $\Gamma_0^{\frac{1}{2}}(\bar{X})$  the line bundle of singular half-densities on  $\bar{X}$ , trivialized by  $\nu := |dvol_g|^{\frac{1}{2}}$ , and  $\Gamma^{\frac{1}{2}}(\partial\bar{X})$  the bundle of half densities on  $\partial\bar{X}$ , trivialized by  $\nu_0 := |dvol_{h_0}|^{\frac{1}{2}}$  (where  $h_0 = x^2 g|_{T\partial\bar{X}}$ ). From these bundles, one can construct the bundles  $\Gamma_0^{\frac{1}{2}}(\bar{X} \times \bar{X}), \Gamma_0^{\frac{1}{2}}(\partial\bar{X} \times \bar{X})$  and  $\Gamma^{\frac{1}{2}}(\partial\bar{X} \times \partial\bar{X})$  by tensor products and the bundles  $\Gamma_0^{\frac{1}{2}}(\bar{X} \times_0 \bar{X}), \Gamma_0^{\frac{1}{2}}(\partial\bar{X} \times_0 \bar{X})$  and  $\Gamma^{\frac{1}{2}}(\partial\bar{X} \times_0 \partial\bar{X})$  by lifting under  $\beta, \tilde{\beta}$  and  $\beta_\partial$  the three previous bundles. If  $M$  denotes  $\bar{X}, \bar{X} \times \bar{X}$  or  $\partial\bar{X} \times \bar{X}$ , we write  $\dot{C}^\infty(M, \Gamma_0^{\frac{1}{2}})$  the space of smooth sections of  $\Gamma_0^{\frac{1}{2}}(M)$  that vanish to all order at all the boundary hypersurfaces of  $M$ , and  $C^{-\infty}(M, \Gamma_0^{\frac{1}{2}})$  is its topological dual. The Hilbert space  $L^2(\bar{X}, \Gamma_0^{\frac{1}{2}})$  and  $L^2(\partial\bar{X}, \Gamma^{\frac{1}{2}})$  are isomorphic to  $L^2(X, dvol_g)$  and  $L^2(\partial\bar{X}, dvol_{h_0})$ , they will be denoted  $L^2(X), L^2(\partial\bar{X})$ .

For  $\alpha \in \mathbb{R}$ , let  $x^\alpha L^2(X) := \{f \in C^{-\infty}(\bar{X}, \Gamma_0^{\frac{1}{2}}); x^{-\alpha} f \in L^2(X)\}$  and we set  $\langle \cdot, \cdot \rangle$  the symmetric non-degenerate products

$$\langle u, v \rangle := \int_X uv \text{ on } L^2(X), \quad \langle u, v \rangle := \int_{\partial\bar{X}} uv \text{ on } L^2(\partial\bar{X}).$$

We can check by using the first pairing that the dual space of  $x^\alpha L^2(X)$  is isomorphic to  $x^{-\alpha} L^2(X)$ . We shall also use the following tensorial notation for  $E = x^\alpha L^2(X)$  (resp.  $E =$

$$L^2(\partial\bar{X})), \psi, \phi \in E'$$

$$\phi \otimes \psi : \begin{cases} E & \rightarrow E' \\ f & \rightarrow \phi \langle \psi, f \rangle \end{cases}.$$

**3.2. Resolvent.** From [12, 6], we know that on an asymptotically hyperbolic manifold  $(X, g)$  with  $g$  even, the modified resolvent

$$R(\lambda) := (\Delta_g - \lambda(n - \lambda))^{-1}$$

extends for all  $N > 0$  to a finite-meromorphic family of operators in  $\{\Re(\lambda) > \frac{n}{2} - N\}$  with values in  $\mathcal{L}(x^N L^2(X), x^{-N} L^2(X))$ , whose poles, the resonances, form a discrete set  $\mathcal{R}$  in  $\mathbb{C}$ . Moreover  $R(\lambda)$  is a continuous operator from  $\dot{C}^\infty(\bar{X}, \Gamma_0^{\frac{1}{2}})$  to  $C^{-\infty}(\bar{X}, \Gamma_0^{\frac{1}{2}})$ , its associated Schwartz kernel being

$$r(\lambda) = r_0(\lambda) + r_1(\lambda) + r_2(\lambda) \in C^{-\infty}(\bar{X} \times \bar{X}, \Gamma_0^{\frac{1}{2}})$$

with (see [12] or [1, Th. 2.1]):

$$\beta^*(r_0(\lambda)) \in I^{-2}(\bar{X} \times_0 \bar{X}, \Gamma_0^{\frac{1}{2}}),$$

$$(3.1) \quad \beta^*(r_1(\lambda)) \in \rho^\lambda \rho'^\lambda C^\infty(\bar{X} \times_0 \bar{X}, \Gamma_0^{\frac{1}{2}}), \quad r_2(\lambda) \in x^\lambda x'^\lambda C^\infty(\bar{X} \times \bar{X}, \Gamma_0^{\frac{1}{2}}),$$

where  $I^{-2}(\bar{X} \times_0 \bar{X}, \Gamma_0^{\frac{1}{2}})$  denotes the set of conormal distributions of order  $-2$  on  $\bar{X} \times_0 \bar{X}$  associated to the closure of the lifted interior diagonal

$$\overline{\beta^{-1}(\{(m, m) \in \bar{X} \times \bar{X}; m \in X\})}$$

and vanishing to infinite order at  $\mathcal{B} \cup \mathcal{T}$  (note that the lifted interior diagonal only intersects the topological boundary of  $\bar{X} \times_0 \bar{X}$  at  $\mathcal{F}$ , and it does transversally). Moreover,  $(\rho\rho')^{-\lambda} \beta^*(r_1(\lambda))$  and  $(xx')^{-\lambda} r_2(\lambda)$  are meromorphic in  $\lambda \in \mathbb{C}$  and  $r_0(\lambda)$  is the kernel of a holomorphic family of operators

$$R_0(\lambda) \in \mathcal{H}ol(\mathbb{C}, \mathcal{L}(x^\alpha L^2(X), x^{-\alpha} L^2(X))), \quad \forall \alpha \geq 0.$$

Note also that Patterson-Perry arguments [14, Lem.4.9] prove that  $R(\lambda)$  does not have poles on the line  $\{\Re(\lambda) = \frac{n}{2}\}$ , except maybe  $\lambda = \frac{n}{2}$ . The set of poles of  $R(\lambda)$  in the half plane  $\{\Re(\lambda) > \frac{n}{2}\}$  is  $\{\lambda_e; \Re(\lambda_e) > \frac{n}{2}, \lambda_e(n - \lambda_e) \in \sigma_{pp}(\Delta_g)\}$ , they are first order poles and their residue is

$$(3.2) \quad \text{Res}_{\lambda_e} R(\lambda) = (2\lambda_e - n)^{-1} \sum_{k=1}^p \phi_k \otimes \phi_k, \quad \phi_k \in x^{\lambda_e} C^\infty(\bar{X}, \Gamma_0^{\frac{1}{2}}),$$

where  $(\phi_k)_{k=1, \dots, p}$  are the normalized eigenfunctions of  $\Delta_g$  for the eigenvalue  $\lambda_e(n - \lambda_e)$ . One can see by a Taylor expansion at  $x = 0$  of the eigenvector equation that if  $x^{-\lambda_e + \frac{n}{2}} \phi_k|_{\partial\bar{X}} = 0$  then  $\phi_k \in \dot{C}^\infty(\bar{X}, \Gamma_0^{\frac{1}{2}})$ , which is excluded according to Mazzeo's results [11].

To simplify the notations, we shall set  $z(\lambda) := \lambda(n - \lambda)$  the holomorphically invertible function from  $\Re(\lambda) < \frac{n}{2}$  to  $\mathbb{C} \setminus [\frac{n^2}{4}, \infty)$ .

For the poles of  $R(\lambda)$  in  $\{\Re(\lambda) < \frac{n}{2}\}$ , we use Lemma 2.4 and 2.11 of [9] to show the

**Lemma 3.1.** *Let  $\lambda_0 \in \mathcal{R}$  and  $N$  such that  $\frac{n}{2} > \Re(\lambda_0) > \frac{n}{2} - N$ , then in a neighbourhood  $V_{\lambda_0}$  of  $\lambda_0$  we have the decomposition*

$$(3.3) \quad R(\lambda) = {}^t \Phi F_1(\lambda) \left( \sum_{j=1}^m (z(\lambda) - z(\lambda_0))^{k_j} P_j \right) F_2(\lambda) \Phi + H(\lambda),$$

with  $m \in \mathbb{N}$ ,  $k_1, \dots, k_m \in -\mathbb{N}$ ,

$$H(\lambda) \in \mathcal{H}ol(V_{\lambda_0}, \mathcal{L}(x^N L^2(X), x^{-N} L^2(X))), \quad F_i(\lambda) \in \mathcal{H}ol(V_{\lambda_0}, \mathcal{L}(\mathbb{C}^q)),$$

where  $q = -\sum_{j=1}^m k_j = m_{\lambda_0}(z'(\lambda)R(\lambda))$  is the multiplicity of the resonance  $\lambda_0$ ,  $(P_j)_{j=1,\dots,m}$  are some orthogonal projections on  $\mathbb{C}^q$  such that  $P_i P_j = \delta_{ij} P_j$  and  $\text{rank}(P_j) = 1$ ,  $\Phi$  is defined by

$$\Phi : \begin{cases} x^N L^2(X) & \rightarrow \mathbb{C}^q \\ f & \rightarrow (\langle \psi_l, f \rangle)_{l=1,\dots,q} \end{cases},$$

$(\psi_l)_{l=1,\dots,q}$  being a basis of  $\text{Im}(A)$  with  $A := \text{Res}_{\lambda_0}(z'(\lambda)R(\lambda))$ . Moreover we have

$$(3.4) \quad \text{Im}(A) \subset \sum_{j=0}^{p-1} x^{\lambda_0} \log^j(x) C^\infty(\bar{X}, \Gamma_0^{\frac{1}{2}})$$

with  $p$  the order of the pole  $\lambda_0$  of  $R(\lambda)$ .

*Proof:* it suffices to use Lemmas 2.4 and 2.11 of [9] but we factorize the resolvent and not the scattering operator. The arguments used in these lemmas are essentially that the polar part of  $R(\lambda)$  be expressed by

$$\Xi_{\lambda_0}(R(\lambda)) = \Xi_{\lambda_0} \left( \sum_{i=1}^p \frac{(\Delta_g - z(\lambda_0))^{i-1} A}{(z(\lambda) - z(\lambda_0))^i} \right)$$

and the factorization into its Jordan form of the nilpotent matrix of  $\Delta_g - z(\lambda_0)$  acting on  $\text{Im}(A)$ . Observe that the elliptic regularity implies that the elements of  $\text{Im}(A)$  are smooth in  $X$ .

To study the structure of the Schwartz kernel  $a_j$  of  $A_j$ , we first consider the following operator

$$(3.5) \quad \tilde{R}(\lambda) := x^{-\lambda + \frac{n}{2}} R(\lambda) x^{-\lambda + \frac{n}{2}}$$

in a disc  $D(\lambda_0, \epsilon)$  around  $\lambda_0$  with radius  $\epsilon$ . If  $\epsilon$  is taken sufficiently small,  $\tilde{R}(\lambda)$  is meromorphic in this disc with values in  $\mathcal{L}(x^{2\epsilon} L^2(X), x^{-2\epsilon} L^2(X))$ ,  $\lambda_0$  is the only pole and its order is  $p$ . The Schwartz kernel  $(xx')^{-\lambda + \frac{n}{2}} r(\lambda)$  of  $\tilde{R}(\lambda)$  is meromorphic and its polar part at  $\lambda_0$  is the same as the one of  $(xx')^{-\lambda + \frac{n}{2}} (r_1(\lambda) + r_2(\lambda))$  since  $r_0(\lambda)$  is holomorphic in  $\mathbb{C}$ . We then can easily check [6, Prop. 3.3] that we have in  $V_{\lambda_0}$

$$(3.6) \quad \Xi_{\lambda_0}(\tilde{R}(\lambda)) = \sum_{j=-p}^{-1} B_j (\lambda - \lambda_0)^j$$

where  $B_j \in \mathcal{L}(x^{2\epsilon} L^2(X), x^{-2\epsilon} L^2(X))$  has a Schwartz kernel of the form

$$(3.7) \quad b_j(x, y, x', y') = \sum_{i=1}^{r_j} \psi_{ji}(x, y) \varphi_{ji}(x', y') \left| \frac{dxdydx'dy'}{x^{n+1}x'^{n+1}} \right|^{\frac{1}{2}}, \quad \psi_{ij}, \varphi_{ij} \in x^{\frac{n}{2}} C^\infty(\bar{X}).$$

Observe now that  $x^{\lambda - \frac{n}{2}}$  has the following Taylor expansion at  $\lambda_0$

$$x^{\lambda - \frac{n}{2}} = x^{\lambda_0 - \frac{n}{2}} \sum_{j=0}^{p-1} \log^j(x) \frac{(\lambda - \lambda_0)^j}{j!} + O((\lambda - \lambda_0)^p)$$

in the sense of operators of  $\mathcal{L}(x^N L^2(X), x^{2\epsilon} L^2(X))$  and  $\mathcal{L}(x^{-2\epsilon} L^2(X), x^{-N} L^2(X))$ . We deduce that  $z'(\lambda)R(\lambda)$  has a residue  $A$  satisfying

$$\text{Im}(A) \subset \sum_{j=0}^{p-1} x^{\lambda_0} \log^j(x) C^\infty(\bar{X}, \Gamma_0^{\frac{1}{2}})$$

and we are done. □

**3.3. Scattering matrix.** Joshi and Sá Barreto [10] have shown that the scattering matrix  $S(\lambda)$  (defined in the introduction) has the following Schwartz kernel

$$(3.8) \quad s(\lambda) := (2\lambda - n)(\beta_\partial)_*(\beta^*(x^{-\lambda+\frac{n}{2}}x'^{-\lambda+\frac{n}{2}}r(\lambda))|_{\mathcal{T} \cap \mathcal{B}})$$

Following (3.1) and (3.8) we have in  $\mathbb{C} \setminus (\mathcal{R} \cup (\frac{n}{2} + \mathbb{N}))$

$$(3.9) \quad s(\lambda) = (\beta_\partial)_*(r^{-2\lambda}k_1(\lambda)) + k_2(\lambda),$$

$$k_1(\lambda) \in C^\infty(\partial\bar{X} \times_0 \partial\bar{X}, \Gamma^{\frac{1}{2}}), \quad k_2(\lambda) \in C^\infty(\partial\bar{X} \times \partial\bar{X}, \Gamma^{\frac{1}{2}})$$

where  $k_1(\lambda)$  and  $k_2(\lambda)$  are meromorphic in  $\lambda \in \mathbb{C}$ . Outside its poles,  $s(\lambda)$  is a conormal distribution of order  $-2\lambda$  associated to  $\delta_{\partial\bar{X}}$  and  $S(\lambda)$  is a pseudo-differential operator of order  $2\lambda - n$  on  $\partial\bar{X}$ . In the sense of Shubin [18, Def. 11.2],  $S(\lambda)$  is a holomorphic family in  $\{\Re(\lambda) < \frac{n}{2}\} \setminus \mathcal{R}$  of zeroth order pseudo-differential operators. We then deduce that  $S(\lambda)$  is holomorphic in the same open set, with values in  $\mathcal{L}(L^2(\partial\bar{X}))$ . Recall the functional equation satisfied by  $S(\lambda)$  (cf. [5])

$$(3.10) \quad S(\lambda)^{-1} = S(n - \lambda) = S(\lambda)^*, \quad \Re(\lambda) = \frac{n}{2}, \quad \lambda \neq \frac{n}{2}$$

which also proves that  $S(\lambda)$  is regular on the line  $\{\Re(\lambda) = \frac{n}{2}\}$ . Furthermore, (3.10) holds also for  $\tilde{S}(\lambda)$  and by analytic extension we have on  $\mathbb{C} \setminus \mathcal{R}$

$$\tilde{S}^{-1}(\lambda) = \tilde{S}(n - \lambda).$$

The principal symbol of  $S(\lambda)$  is given in (1.2) and the renormalization  $\tilde{S}(\lambda)$  of  $S(\lambda)$  defined in (1.3) is Fredholm with index 0, consequently we are in the framework of Section 2.

Using Lemmas 3.1 and (3.9), we then obtain the

**Lemma 3.2.** *Let  $\lambda_0 \in \{\Re(\lambda) < \frac{n}{2}\}$  a pole of  $S(\lambda)$ . Then  $\lambda_0 \in \mathcal{R}$  and, following the notations of Lemma 3.1, we have near  $\lambda_0$*

$$(3.11) \quad S(\lambda) = (2\lambda - n)^t \Phi^\sharp(\lambda) F_1(\lambda) \left( \sum_{j=1}^m (z(\lambda) - z(\lambda_0))^{k_j} P_j \right) F_2(\lambda) \Phi^\sharp(\lambda) + H^\sharp(\lambda)$$

with  $H^\sharp(\lambda) \in \mathcal{H}ol(V_{\lambda_0}, \mathcal{L}(L^2(\partial\bar{X})))$  and  $\Phi^\sharp(\lambda) \in \mathcal{H}ol(V_{\lambda_0}, \mathcal{L}(L^2(\partial\bar{X}), \mathbb{C}^q))$ .

*Proof:* the fact that  $\lambda_0 \in \mathcal{R}$  is straightforward since if  $r(\lambda)$  was holomorphic one would have  $s(\lambda)$  holomorphic in view of (3.8). Now,  $\tilde{R}(\lambda)$  being defined in (3.5), we saw in Lemma 3.1 that the polar part of  $\tilde{R}(\lambda)$  at  $\lambda_0$  has a Schwartz kernel  $\Xi_{\lambda_0}(\tilde{r}(\lambda))$  satisfying

$$(3.12) \quad \Xi_{\lambda_0}(\tilde{r}(\lambda)) \in (xx')^{\frac{n}{2}} C^\infty(\bar{X} \times \bar{X}, \Gamma_0^{\frac{1}{2}}).$$

Let  $\Phi(\lambda) := \sum_{i=0}^{p-1} \frac{(\lambda - \lambda_0)^i}{i!} \frac{d^i}{d\lambda^i} (\Phi x^{-\lambda + \frac{n}{2}})|_{\lambda=\lambda_0}$  in the sense of operators of  $\mathcal{L}(x^{2\epsilon} L^2(X), \mathbb{C}^q)$ :

$$\Phi(\lambda) : \begin{cases} x^{2\epsilon} L^2(X) & \rightarrow \mathbb{C}^q \\ f & \rightarrow \left( \sum_{j=0}^{p-1} \frac{(\lambda_0 - \lambda)^j}{j!} \langle \log^j(x) x^{-\lambda_0 + \frac{n}{2}} \psi_l, f \rangle \right)_{l=1,\dots,q} \end{cases}.$$

Lemma 3.1 implies that

$$(3.13) \quad \Xi_{\lambda_0}(\tilde{R}(\lambda)) = \Xi_{\lambda_0} \left( {}^t \Phi(\lambda) F_1(\lambda) \left( \sum_{j=1}^m (z(\lambda) - z(\lambda_0))^{k_j} P_j \right) F_2(\lambda) \Phi(\lambda) \right).$$

Let  $C := \sum_{j=-p}^{-1} \text{Im}(B_j)$  with  $B_j$  the operators defined in (3.6) and let  $\Pi_C$  be the orthogonal projection of  $x^{-2\epsilon} L^2(X)$  onto  $C$ . We multiply (3.13) on the left by  $\Pi_C$  and on the right by  ${}^t \Pi_C$ ,

and using that  $\Xi_{\lambda_0}(\tilde{R}(\lambda))$  is symmetric (since  $tR(\lambda) = R(\lambda)$ ) we deduce that (3.13) remains true if  $\Phi(\lambda)$  is replaced by

$$\begin{cases} x^{2\epsilon}L^2(X) & \rightarrow \mathbb{C}^q \\ f & \rightarrow \left( \sum_{j=0}^{p-1} \frac{(\lambda_0 - \lambda)^j}{j!} \langle \Pi_C(\log^j(x)x^{-\lambda_0 + \frac{n}{2}}\psi_l), f \rangle \right)_{l=1,\dots,q} \end{cases}$$

so that the logarithmic terms disappear. Finally, we can use the representation of  $S(\lambda)$  by its Schwartz kernel (3.9) and we obtain

$$\Xi_{\lambda_0}(S(\lambda)) = \Xi_{\lambda_0} \left( (2\lambda - n)^t \Phi^\sharp(\lambda) F_1(\lambda) \left( \sum_{j=1}^m (z(\lambda) - z(\lambda_0))^{k_j} P_j \right) F_2(\lambda) \Phi^\sharp(\lambda) \right),$$

with

$$\Phi^\sharp(\lambda) : \begin{cases} L^2(\partial\bar{X}) & \rightarrow \mathbb{C}^q \\ f & \rightarrow \left( \sum_{j=0}^{p-1} \frac{(\lambda_0 - \lambda)^j}{j!} \langle \Pi_C(\log^j(x)x^{-\lambda_0 + \frac{n}{2}}\psi_l)|_{\partial\bar{X}}, f \rangle \right)_{l=1,\dots,q} \end{cases},$$

the proof is achieved.  $\square$

From this lemma, we deduce the

**Corollary 3.3.** *If  $\lambda_0 \in \{\Re(\lambda) < \frac{n}{2}\}$  is a pole of  $S(\lambda)$ , it is a pole of  $R(\lambda)$  such that*

$$m_{\lambda_0}(z'(\lambda)R(\lambda)) \geq N_{\lambda_0}(c(n - \lambda)\tilde{S}(n - \lambda)).$$

*Proof:* firstly, (3.11) can be expressed by

$$\begin{aligned} c(\lambda)\tilde{S}(\lambda) &= F_3(\lambda) \left( \sum_{j=1}^m (z(\lambda) - z(\lambda_0))^{k_j} P_j \right) F_4(\lambda) + \tilde{H}^\sharp(\lambda), \\ F_3(\lambda) &:= (2\lambda - n)\Lambda^{-\lambda + \frac{n}{2}} t \Phi^\sharp(\lambda) F_1(\lambda), \quad F_4(\lambda) := F_2(\lambda) \Phi^\sharp(\lambda) \Lambda^{-\lambda + \frac{n}{2}}, \\ \tilde{H}^\sharp(\lambda) &:= (2\lambda - n)\Lambda^{-\lambda + \frac{n}{2}} H^\sharp(\lambda) \Lambda^{-\lambda + \frac{n}{2}}. \end{aligned}$$

Note that we can take  $k_1 \leq \dots \leq k_m < 0$  and set  $(\varphi_0^{(j)})_{j=1,\dots,M}$  a canonical system of eigenvectors of  $c(n - \lambda)\tilde{S}(n - \lambda)$  at  $\lambda_0$  with  $r_1 \geq \dots \geq r_M$  the associated partial null multiplicities (this canonical system exists and is deduced from the one of  $\tilde{S}(n - \lambda)$ ). Let us show that  $M \leq m$  and, by induction, that  $r_j \leq -k_j$  for all  $j = 1, \dots, M$ .

If  $\varphi^{(j)}(\lambda)$  is a root function of  $c(n - \lambda)\tilde{S}(n - \lambda)$  at  $\lambda_0$  corresponding to  $\varphi_0^{(j)}$ , there exists a holomorphic function  $\phi^{(j)}(\lambda)$  such that

$$c(n - \lambda)\tilde{S}(n - \lambda)\varphi^{(j)}(\lambda) = (z(\lambda) - z(\lambda_0))^{r_j} \phi^{(j)}(\lambda)$$

with  $\phi^{(j)}(\lambda_0) \neq 0$ , hence when  $\lambda$  approaches  $\lambda_0$  in the following identity

$$\varphi^{(j)}(\lambda) = \sum_{l=1}^m (z(\lambda) - z(\lambda_0))^{r_j + k_l} F_3(\lambda) P_l F_4(\lambda) \phi^{(j)}(\lambda) + (z(\lambda) - z(\lambda_0))^{r_j} \tilde{H}^\sharp(\lambda) \phi^{(j)}(\lambda),$$

we find that  $r_1 \leq -k_1$  and  $\varphi_0^{(j)}$  is in the vectorial space

$$E_j := \text{Vect}\{F_3(\lambda_0)P_l F_4(\lambda_0)L^2(\partial\bar{X}); r_j \leq -k_l\}.$$

Moreover, the order on  $(r_j)_{j=1,\dots,M}$  implies that  $E_j \subset E_M$  for  $j = 1, \dots, M$  but  $\dim E_M \leq m$  since  $\text{rank}(P_l) = 1$ , thus we necessarily have  $M \leq m$ ,  $(\varphi_0^{(j)})_j$  being independent by assumption. Now let  $j \leq M$  and suppose that  $r_i \leq -k_i$  for all  $i \leq j$ . We first note that  $E_j \subset E_{j+1}$  since  $r_{j+1} \leq r_j$ . If  $r_{j+1} > -k_{j+1}$ , we would have  $\dim E_{j+1} \leq j$  but  $E_{j+1}$  contains the linearly independent vectors  $\varphi_0^{(1)}, \dots, \varphi_0^{(j+1)}$ , so a contradiction. One concludes that  $r_{j+1} \leq -k_{j+1}$  and

$$N_{\lambda_0}(c(n - \lambda)\tilde{S}(n - \lambda)) = \sum_{j=1}^M r_j \leq - \sum_{l=1}^m k_l = q = m_{\lambda_0}(z'(\lambda)R(\lambda)),$$

the corollary is proved.  $\square$

**Lemma 3.4.** *Let  $\lambda_0 \in \{\Re(\lambda) < \frac{n}{2}\}$  be a pole of  $R(\lambda)$  of finite multiplicity. If  $\lambda_0(n - \lambda_0) \notin \sigma_{pp}(\Delta_g)$  or  $\lambda_0 \notin \frac{1}{2}(n - \mathbb{N})$ , then  $\lambda_0$  is a pole of  $S(\lambda)$  such that*

$$m_{\lambda_0}(z'(\lambda)R(\lambda)) \leq N_{\lambda_0} \left( c(n - \lambda) \tilde{S}(n - \lambda) \right).$$

*Proof:* we first suppose that  $\lambda_0$  is not a pole of  $c(\lambda)$  (i.e.  $\lambda_0 \notin \frac{n}{2} - \mathbb{N}$ ). From Gohberg-Sigal theory, one can factorize  $\tilde{S}(\lambda)$  near  $\lambda_0$  as in (2.2)

$$(3.14) \quad c(\lambda)\tilde{S}(\lambda) = U_1(\lambda) \left( P_0 + \sum_{l=1}^m (\lambda - \lambda_0)^{k_l} P_l \right) U_2(\lambda)$$

with  $U_1(\lambda)$ ,  $U_2(\lambda)$  some holomorphically invertible operators near  $\lambda_0$  and

$$P_i P_j = \delta_{ij} P_j, \quad \text{rank}(P_l) = 1 \text{ for } l = 1, \dots, m, \quad 1 = \sum_{j=0}^m P_j, \quad k_l \in \mathbb{Z}^*.$$

Take the Green equation between the resolvent and the scattering operator (see [15, 16, 7, 9, 6])

$$(3.15) \quad R(\lambda) - R(n - \lambda) = (2\lambda - n)^t E(n - \lambda) \Lambda^{\lambda - \frac{n}{2}} c(\lambda) \tilde{S}(\lambda) \Lambda^{\lambda - \frac{n}{2}} E(n - \lambda)$$

on  $\mathcal{L}(x^N L^2(X), x^{-N} L^2(X))$  with  $\frac{n}{2} - N < |\Re(\lambda)| < \frac{n}{2}$  and  $E(\lambda)$  the transpose of the Eisenstein operator, its Schwartz kernel being

$$e(\lambda) := \tilde{\beta}_* (\beta^*(x^{-\lambda + \frac{n}{2}} r(\lambda))|_{\mathfrak{T}}).$$

We can suppose that  $k_1 \leq \dots \leq k_m$  and set  $p := \max(0, -k_1)$ . We consider the following Laurent expansions at  $\lambda_0$

$$(3.16) \quad \begin{aligned} (n - 2\lambda)R(n - \lambda) &= \sum_{i=-1}^{p-1} R_i(\lambda - \lambda_0)^i + O((\lambda - \lambda_0)^p), \\ (2\lambda - n)U_2(\lambda)\Lambda^{\lambda - \frac{n}{2}}E(n - \lambda) &= \sum_{i=-1}^{p-1} E_i^{(2)}(\lambda - \lambda_0)^i + O((\lambda - \lambda_0)^p), \\ (n - 2\lambda)^t E(n - \lambda)\Lambda^{\lambda - \frac{n}{2}}U_1(\lambda) &= \sum_{i=-1}^{p-1} E_i^{(1)}(\lambda - \lambda_0)^i + O((\lambda - \lambda_0)^p), \end{aligned}$$

where  $R_{-1}$  and  $E_{-1}^{(j)}$  are not 0 if and only if  $\lambda_0(n - \lambda_0) \in \sigma_{pp}(\Delta_g)$ , and in this case

$$(3.17) \quad \begin{aligned} R_{-1} &= -\sum_{i=1}^k \phi_i \otimes \phi_i, \\ E_{-1}^{(2)} &= \sum_{i=1}^k U_2(\lambda_0)\Lambda^{\lambda_0 - \frac{n}{2}}(x^{\lambda_0 - \frac{n}{2}}\phi_i)|_{\partial\bar{X}} \otimes \phi_i, \\ E_{-1}^{(1)} &= -\sum_{i=1}^k \phi_i \otimes {}^t U_1(\lambda_0)\Lambda^{\lambda_0 - \frac{n}{2}}(x^{\lambda_0 - \frac{n}{2}}\phi_i)|_{\partial\bar{X}}, \end{aligned}$$

with  $\phi_i \in x^{n-\lambda_0} C^\infty(\bar{X}, \Gamma_0^{\frac{1}{2}})$  the normalized eigenfunctions of  $\Delta_g$  for the eigenvalue  $\lambda_0(n - \lambda_0)$ . From (3.14), (3.15) and (3.16) we obtain

$$(3.18) \quad A := \text{Res}_{\lambda_0}((n - 2\lambda)R(\lambda)) = R_{-1} + \sum_{\substack{j+i+k_l=-1 \\ k_l \geq 0}} E_i^{(1)} P_l E_j^{(2)} + \sum_{\substack{j+i+k_l=-1 \\ k_l < 0}} E_i^{(1)} P_l E_j^{(2)}$$

where by convention  $k_l = 0 \iff l = 0$ . We set  $V := \text{Im}(A_1) + \text{Im}(A_2)$  with

$$\begin{aligned} A_1 &:= R_{-1} + E_{-1}^{(1)} P_0 E_0^{(2)} + E_{-1}^{(1)} \left( \sum_{k_l=1} P_l \right) E_{-1}^{(2)}, \\ A_2 &:= E_0^{(1)} P_0 E_{-1}^{(2)} + \sum_{\substack{j+i+k_l=-1 \\ k_l < 0}} E_i^{(1)} P_l E_j^{(2)}. \end{aligned}$$

Remark from (3.17) that

$$\text{Im}(A_1) \subset x^{n-\lambda_0} C^\infty(\bar{X}, \Gamma_0^{\frac{1}{2}}), \quad (\Delta_g - \lambda_0(n - \lambda_0)) A_1 = 0$$

and in view of Lemma 3.1 we know that there exists  $p \in \mathbb{N}$  such that

$$\text{Im}(A) \subset \sum_{j=0}^{p-1} x^{\lambda_0} \log^j(x) C^\infty(\bar{X}, \Gamma_0^{\frac{1}{2}}), \quad (\Delta_g - \lambda_0(n - \lambda_0))^p A = 0$$

thus we can argue that

$$\forall u \in V, \quad (\Delta_g - \lambda_0(n - \lambda_0))^p u = 0.$$

Note that if  $\lambda_0 \notin \frac{1}{2}(n - \mathbb{N})$ , we clearly have

$$x^{n-\lambda_0} C^\infty(\bar{X}, \Gamma_0^{\frac{1}{2}}) \cap \sum_{j=0}^{p-1} x^{\lambda_0} \log^j(x) C^\infty(\bar{X}, \Gamma_0^{\frac{1}{2}}) \subset \dot{C}^\infty(\bar{X}, \Gamma_0^{\frac{1}{2}}),$$

therefore, if  $V_1, V_2$  are defined by

$$V_1 = V \cap x^{n-\lambda_0} C^\infty(\bar{X}, \Gamma_0^{\frac{1}{2}}), \quad V_2 = V \cap \sum_{j=0}^{p-1} x^{\lambda_0} \log^j(x) C^\infty(\bar{X}, \Gamma_0^{\frac{1}{2}}),$$

we deduce from the unique continuation principle proved by Mazzeo [11] that

$$V_1 \cap V_2 \subset \dot{C}^\infty(\bar{X}, \Gamma_0^{\frac{1}{2}}) \cap \ker_{L^2}(\Delta_g - \lambda_0(n - \lambda_0))^p = 0.$$

Hence, we can split  $V = V_1 \oplus V_2 \oplus V_3$  with  $V_3$  a direct complement of  $V_1 \oplus V_2$  in  $V$ . Let  $\Pi_{V_2}$  be the projection of  $V$  onto  $V_2$  parallel to  $V_1 \oplus V_3$ ,  $\Pi_V$  the orthogonal projection of  $x^{-N} L^2(X)$  onto  $V$  and  $\iota_V$  the inclusion of  $V$  into  $x^{-N} L^2(X)$ . We multiply (3.18) on the left by  $\Pi'_{V_2} := \iota_V \Pi_{V_2} \Pi_V$  and on the right by  ${}^t \Pi'_{V_2}$  to obtain

$$A = \sum_{\substack{j+i+k_l=-1 \\ k_l < 0}} \Pi'_{V_2} E_i^{(1)} P_l E_j^{(2)} {}^t \Pi'_{V_2}$$

by construction of  $V_2$  and using the symmetry  ${}^t A = A$  (since  ${}^t R(\lambda) = R(\lambda)$ ). Now remark that

$$\sum_{\substack{j+i+k_l=-1 \\ k_l < 0}} \Pi'_{V_2} E_i^{(1)} P_l E_j^{(2)} {}^t \Pi'_{V_2} = \sum_{k_l < 0} \sum_{i=0}^{-k_l-1} \Pi'_{V_2} E_i^{(1)} P_l E_{-k_l-1-i}^{(2)} {}^t \Pi'_{V_2}$$

and the rank of this operator is bounded by  $-\sum_{k_l < 0} k_l = N_{\lambda_0}(c(n-\lambda)\tilde{S}(n-\lambda))$  since  $\text{rank}(P_l) = 1$ . The lemma is proved when  $\lambda_0 \notin \frac{n}{2} - \mathbb{N}$ .

On the other hand if  $\lambda_0 \in \frac{n}{2} - \mathbb{N}$  and  $\lambda_0(n - \lambda_0) \notin \sigma_{pp}(\Delta_g)$ , we have  $R_{-1} = 0$ ,  $E_{-1}^{(1)} = 0$  and  $E_{-1}^{(2)} = 0$  in (3.16). Therefore, the same proof works if we replace (3.14) and (3.18) by

$$c(\lambda)\tilde{S}(\lambda) = U_1(\lambda) \left( (\lambda - \lambda_0)P_0 + \sum_{l=1}^m (\lambda - \lambda_0)^{k_l+1} P_l \right) U_2(\lambda),$$

$$\text{Res}_{\lambda_0}((n-2\lambda)R(\lambda)) = \sum_{\substack{j+i+k_l=-2 \\ k_l < -1}} E_i^{(1)} P_l E_j^{(2)}$$

the first one being obtained from Gohberg-Sigal factorization (2.2) of  $\tilde{S}(\lambda)$  at  $\lambda_0$ . Now observe that the rank of

$$\sum_{\substack{j+i+k_l=-2 \\ k_l < -1}} \Pi'_{V_2} E_i^{(1)} P_l E_j^{(2)} {}^t \Pi'_{V_2} = \sum_{k_l < -1} \sum_{i=0}^{-k_l-2} \Pi'_{V_2} E_i^{(1)} P_l E_{-k_l-2-i}^{(2)} {}^t \Pi'_{V_2}$$

is bounded by

$$-\sum_{k_l < -1} (k_l + 1) = -\sum_{k_l < 0} (k_l + 1) = N_{\lambda_0}(\tilde{S}(n-\lambda)) - \dim \ker_{\lambda_0} \tilde{S}(n-\lambda) = N_{\lambda_0}(c(n-\lambda)\tilde{S}(n-\lambda))$$

in view of (2.5), the proof is complete.  $\square$

*Proof of Theorem 1.1:* we combine the results of Corollary 3.3 and Lemma 3.4 with (2.5) and (2.4), and observe that

$$\ker_{\lambda_0} \tilde{S}(n - \lambda) = \ker \tilde{S}(n - \lambda_0) = \ker \text{Res}_{n-\lambda_0} S(\lambda),$$

then it remains to show that

$$(3.19) \quad N_{\lambda_0}(\tilde{S}(\lambda)) = m_{n-\lambda_0}.$$

Whereas the case  $\lambda_0(n - \lambda_0) \notin \sigma_{pp}(\Delta_g)$  is clear since  $\tilde{S}(\lambda)^{-1} = \tilde{S}(n - \lambda)$  is holomorphic near  $\lambda_0$  and  $m_{n-\lambda_0} = 0$ , the case  $\lambda_0(n - \lambda_0) \in \sigma_{pp}(\Delta_g)$  needs a little more care. In view of (3.2) and (3.8),  $\tilde{S}(\lambda)$  has the following polar part at  $n - \lambda_0$

$$C(\lambda_0)(\lambda - n + \lambda_0)^{-1} \sum_{j=1}^k \Lambda^{\lambda_0 - \frac{n}{2}} \phi_j^\sharp \otimes \Lambda^{\lambda_0 - \frac{n}{2}} \phi_j^\sharp$$

with  $C(\lambda_0) \neq 0$  if  $\lambda_0 \notin \frac{n}{2} - \mathbb{N}$ ,  $k = m_{n-\lambda_0}$  and  $\phi_j^\sharp := x^{\lambda_0 - \frac{n}{2}} \phi_j|_{\partial \bar{X}}$  (where  $(\phi_j)_j$  is an orthonormal basis of  $\ker_{L^2}(\Delta_g - \lambda_0(n - \lambda_0))$  as in (3.2)). It is not difficult to see that  $(\phi_j^\sharp)_j$  are independent, otherwise there would exist a non zero solution  $u \in x^{n-\lambda_0+1} C^\infty(\bar{X}, \Gamma_0^{\frac{1}{2}})$  of  $(\Delta_g - \lambda_0(n - \lambda_0))u = 0$  and a Taylor expansion of this equation at  $x = 0$  proves that  $u \in \dot{C}^\infty(\bar{X}, \Gamma_0^{\frac{1}{2}})$ , which is excluded according to Mazzeo's result [11]. Since the pole is a first order pole, the factorization of  $\tilde{S}(\lambda)$  as in (2.2) near  $n - \lambda_0$  is clear for the  $k_l < 0$ : we have  $m = k$  and  $k_l = -1$  for  $l = 1, \dots, k$ . Using (2.3) and  $\tilde{S}(\lambda)^{-1} = \tilde{S}(n - \lambda)$ , one then obtain that the partial null multiplicities of  $\tilde{S}(\lambda)$  at  $\lambda_0$  are  $\{-k_1, \dots, -k_k\}$  which gives (3.19) and the theorem.  $\square$

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